

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: May 5, 2004

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2004-0189SERIAL NO.  
10/771,388

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APPLICANT  
Horst Georg ZERBE et al.FILING DATE  
February 5, 2004

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LR	AA	6,592,887	7/2003	Zerbe et al.			
	AB	6,552,024	4/2003	Chen et al.			
	AC	6,489,341	12/2002	Jerussi			
	AD	6,436,407	8/2002	Clements et al.			
	AE	6,342,496	1/2002	Jerussi et al.			
	AF	6,335,023	1/2002	Yu et al.			
	AG	6,264,981	7/2001	Zhang et al.			
	AH	5,955,098	9/1999	Dugger, III			
LR	AI	5,952,382	9/1999	Bernardon			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
LR	AJ	02/085119	10/2002	WO			
LR	AK	00/42992	7/2000	WO			
LR	AL	03/030883	4/2003	WO			
LR	AM	01/03917	1/2001	WO			
LR	AN	02/02085	1/2002	WO			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

LR	AO	Patent Abstracts of Japan for JP 58-059910, published April 9, 1983.
LR	AP	Patent Abstracts of Japan for JP 03-246220, published November 1, 1991.
LR	AQ	Patent Abstracts of Japan for JP 03-209327, published September 12, 1991.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LR	AA	5,871,905	2/1999	Thieme et al.			
	AB	5,853,740	12/1998	Lu et al.			
	AC	5,824,334	10/1998	Stanley et al.			
	AD	5,785,989	7/1998	Stanley et al.			
	AE	5,414,005	5/1995	Schneider et al.			
	AF	5,147,654	9/1992	Place et al.			
	AG	4,764,378	8/1988	Keith et al.			
	AH	5,629,003	5/1997	Horstmann et al.			
LR	AI	2003/0118653	6/2003	Chen et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
LR	AJ	02/066016	8/2002	WO			
LR	AK	00/10539	3/2000	WO			
LR	AL	03/026654	4/2003	WO			
LR	AM	03/015748	2/2003	WO			
LR	AN	01/30288	5/2001	WO			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

LR	AO	Patent Abstracts of Japan for JP 03-209326, published September 12, 1991.
LR	AP	Patent Abstracts of Japan for JP 63-310818, published December 19, 1988.
LR	AQ	Patent Abstracts of Japan for JP 62-135417, published June 18, 1987.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LR	AA	2003/0099690	5/2003	Awamura et al.			
LR	AB	5,565,466	10/1996	Gioco et al.			
	AC						
	AD						
	AE						
	AF						
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	AH						
	AI						

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
LR	AJ	01/07507	2/2001	WO				
LR	AK	1 391 212	2/2004	Europe				
LR	AL	0 781 546	3/2001	Europe				
LR	AM	0 526 524	12/995	Europe				
LR	AN	1 103 253	5/2001	Europe				

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
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LR	AA	5,413,792	5/1999	Ninomiya et al.			
	AB	5,492,937	2/1996	Borgentoft et al.			
	AC	5,700,478	12/1997	Biegajski et al.			
	AD	5,472,704	12/1995	Santus et al.			
	AE	5,346,701	9/1994	Heiber et al.			
	AF	5,229,164	7/1993	Pins et al.			
	AG	5,047,244	9/1991	Sanvordeker et al.			
	AH	4,900,552	2/1990	Sanvordeker et al.			
	AI	4,031,200	6/1977	Reif			
	AJ	4,029,758	6/1977	Mlodozieniec et al.			
	AK	4,029,757	6/1977	Mlodozieniec et al.			
	AL	4,876,092	10/1989	Mizobuchi et al.			
	AM	5,462,749	10/1995	Rencher			
	AN	4,713,239	12/1987	Babaian et al.			
	AO	4,579,858	4/1986	Ferno et al.			
	AP	5,446,070	8/1995	Mantelle			
	AQ	5,599,554	2/1997	Majeti			
	AR	5,783,207	7/1998	Stanley et al.			
LR	AS	5,804,209	9/1998	Ponti et al.			

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	BA	95/18046	7/1995	WIPO				
	BB	91/06270	5/1991	WO				
	BC	0 200 508	10/1991	Europe				
	BD	1 263 312	11/1989	Canada				
	BE	2 449 865	4/1976	Germany				
	BF	3 630 603	3/1988	Germany				
	BG	0 219 762	4/1987	Europe				
	BH	0 452 446	10/1991	Europe				
	BI	0 381 194	8/1990	Europe				
	BJ	24 32 925	1/1976	Germany				
	BK	91/05540	5/1991	WO				
	BL	0 259 749	3/1988	Europe				
	BM	0 781 550	7/1997	Europe				
✓	BN	0 250 187	12/1987	Europe				
LR	BO	92/15289	9/1992	WO				

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	BP	
	BQ	
	BR	

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LR	AA	5,626,866	5/1997	Ebert et al.			
LR	AB	6,221,383 B1	4/2001	Miranda et al.			
	AC						
	AD						
	AE						
	AF						

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
LR	AG	5-236885	9/1993	Japan			X	
LR	AH	5-41602	6/1993	Japan			X	
	AI							
	AJ							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

LR	AK	F.V. Bruchhausen (ed.): Hagers Handbuch der pharmazeutischen Praxis, vol. 2, 1991, page 849 (in German) & and partial English translation thereof.						
LR	AL	Y.W. Chien, <u>Novel Drug Delivery Systems</u> , New York: Marcel Dekker, Inc., 1992, pages 171-173.						
LR	AM	V.V. Ranade and M.A. Hollinger (ed.): <u>Drug Delivery Systems</u> , Florida: CRC Press, Inc., 1996, pages 62-65.						
LR	AN	J. Anderson, <u>Advances in Drug Delivery Systems</u> , 6, pages 37-38 (1994).						
LR	AO	CAPLUS abstract (1986:193234) & JP 61030516 *abstract*.						

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